



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2134 IFW

Application of: **Ault et al.**

Serial No.: **09/895,978**

Filed: **June 29, 2001**

For: **User Registry Adapter Framework**

35525

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

§ Group Art Unit: **2134**
§
§ Examiner: **Poltorak, Piotr**
§
§ Attorney Docket No.: **AUS920010373US1**
§

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

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By:

Louise Fay
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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- Form PTO-1449;
- References AA-AC; and
- Our return postcard.

A fee of \$180.00 is believed to be necessary. I authorize the Commissioner to charge these fees required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

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~~02/15/2006 NBERHE 00000026-090447-09895978~~
~~01-FC-1806-180.00 DA~~



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ault et al.

Serial No: **09/895,978**

Filed: **June 29, 2001**

Title: **User Registry Adapter Framework**

Group Art Unit: **2134**

Intellectual Property
Law Department
International Business
Machines Corporation
11400 Burnet Road
Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

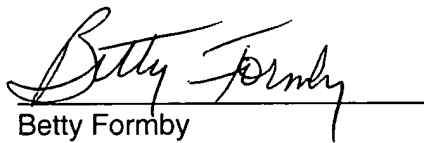
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This Information Disclosure Statement is being filed after the mailing date of a final action or a notice of allowance, on or before the payment of the issue fee.

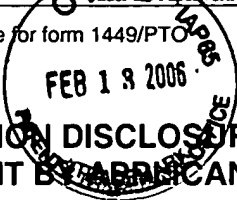
No item of information cited in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Please charge the required fee of \$180.00 as set forth in § 1.17(p) to Deposit Account No. 09-0447.

Respectfully submitted,

A handwritten signature in cursive script, reading "Betty Formby", is written over a horizontal line.

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<p style="text-align: center;">Substitute for form 1449/PTO</p> <div style="text-align: center;">  </div> <p style="text-align: center; font-weight: bold; font-size: 1.2em;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: left; padding: 5px;">Complete if Known</th> </tr> <tr> <td style="width: 50%; padding: 5px;">Application Number</td> <td style="padding: 5px;">09/895,978</td> </tr> <tr> <td style="padding: 5px;">Filing Date</td> <td style="padding: 5px;">June 29, 2001</td> </tr> <tr> <td style="padding: 5px;">First Named Inventor</td> <td style="padding: 5px;">Ault et al.</td> </tr> <tr> <td style="padding: 5px;">Examiner Name</td> <td style="padding: 5px;">Poltorak, Piotr</td> </tr> <tr> <td style="padding: 5px;">Art Unit</td> <td style="padding: 5px;">2134</td> </tr> <tr> <td style="padding: 5px;">Attorney Docket No.</td> <td style="padding: 5px;">AUS920010373US1</td> </tr> </table>	Complete if Known		Application Number	09/895,978	Filing Date	June 29, 2001	First Named Inventor	Ault et al.	Examiner Name	Poltorak, Piotr	Art Unit	2134	Attorney Docket No.	AUS920010373US1
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Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS				
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NON PATENT LITERATURE	
Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
AA	Zhang et al., "An Integrated Approach for Database Security and Fault Tolerance", Proceedings of the International Conference on Information Technology: Coding and Computing, 2004, pp. 762-766.
AB	Zhang et al., "An Efficient Authentication Scheme with Fault Tolerance for Database Systems", Proceedings of the Third International Conference on Information Technology and Applications, 2005, pp. 448-453.
AC	Mohan, "Privacy and Authentication Protocols for PCS", IEEE Personal Communications, Vol. 3, Issue 5, October 1996, pp. 34-38.

Examiner: _____

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